

Agenda

Wednesday, June 3

11:30 - 1:00	Registration with Buffet Lunch sponsored by Prudential Retirement
1:00 - 1:15	Opening Remarks Nevin Adams, <i>Editor-in-Chief</i> , PLANSPONSOR
1:15 - 2:00	Have You Heard the One About... PLANSPONSOR's editorial team highlights this year's most innovative plan design ideas. Nevin Adams, <i>Editor-in-Chief</i> , PLANSPONSOR
2:15 - 3:30	Washington Update. What "change" could be coming to your benefits programs MODERATOR Charles Ruffel, <i>Founder & Director</i> , Asset International PANELISTS Jason Roberts, <i>Esquire</i> , Reish Luftman Reicher & Cohen David N. Levine, <i>Principal</i> , Groom Law Group Chartered Bridget Hagan, <i>Senior Director of Government Relations</i> , Nationwide
3:30 - 3:50	Coffee Break
3:50 - 5:00	Hot Topics/Top Fiduciary Concerns. Company stock...investment advice...the lack of an investment policy statement...404c...What's keeping you up at night? The top fiduciary challenges confronting plan sponsors today—and what you can do about them. Insights from our unique expert panel. MODERATOR Nevin Adams, <i>Editor-in-Chief</i> , PLANSPONSOR PANELISTS Jeb Graham, <i>Retirement Plan Consultant</i> , CapTrust Financial Advisors Jason Bortz, <i>Partner</i> , Davis & Harman LLP Michael Barry, <i>President</i> , Plan Advisory Services Group Michael Kozemchak, <i>Managing Director</i> , Institutional Investment Consulting /An NRP Member Firm
5:30 - 7:00	Cocktail Reception
7:00	Walk About Dining in Exhibit Space

Agenda

Thursday, June 4

6:30 – 8:00 **Breakfast**— Sponsored by Northern Trust

8:00 – 8:15 **Opening Remarks**

WORKSHOP 1

8:15 – 9:15

Auto Pilates

New strategies for reaching—and rousing—the automatically enrolled.

MODERATOR

Nevin Adams, *Editor-in-Chief*, PLANSPONSOR

PANELISTS

Heidi Walsh, *VP and Director of Consultant and Advisor Relations*, T.Rowe Price

Michael Case Smith, *VP, Institutional Strategies*, Avatar Associates

Annette Grabow, *Manager of Retirement Benefits*, M.A. Mortenson Company

WORKSHOP 2

“Reasonable” Doubts

Figuring out how much you’re paying—and knowing if your plan is getting its money’s worth.

MODERATOR

Alison Cooke, *Managing Editor*, PLANADVISER

PANELISTS

Steve Smith, *VP, Sales & Corporate Plans Market Leader*, Diversified Investment Advisors

Marina L. Edwards, *Senior Consultant*, Towers Perrin

Jennifer Marconi Flodin, *COO & Co-Founder*, Plan Sponsor Advisors

9:20 – 10:20

Inside or Out?

Should your retirement income solution be offered inside your DC plan—or as a rollover solution? The pros and cons of each approach.

MODERATOR

Charles Ruffel, *Founder & Director*, Asset International

PANELISTS

Susan Czochara, *Senior Product Manager Defined Contributions*, Northern Trust

William Heestand, *The Heestand Company/An NRP Member Firm*

John Rowe, *National Director*, MetLife

Eric Levy, *Retirement Business Leader*, Mercer

Match Makings

How to put together a practical RFP and use it to find the best provider match for your program.

MODERATOR

Brian K. Neligan, *VP Surveys & Research*, PLANSPONSOR

PANELISTS

Shirley A. Dwyer, *Director, Employee Benefits*, WellSpan Health

David Hinderstein, *President*, Strategic Retirement Group, Inc., /An NRP Member Firm

Michael Kozemchak, *Managing Director*, Institutional Investment Consulting/An NRP Member Firm

Peter Margiotta, *National Sales Director-National Account Markets*, Great-West Retirement Services

10:20 – 10:45

Coffee Break

Agenda Thursday, June 4 *Continued*

2009
PLAN
DESIGNS

WORKSHOP 1

10:45 – 11:45

Target Practices

With the prevalence of target-date, or lifecycle, funds in the marketplace today, plan sponsors are often at a loss for how to determine which fund is right for them. Are your target-date funds on target? What to look—and look out—for.

MODERATOR

N. Quinn Keeler, *SVP, Research & Surveys*, PLANSPONSOR

PANELISTS

Kamila Kowalke, *Director, Institutional Markets*, Dow Jones Indexes

Daniel Weber, *Head of Investment Strategies Group*, Lincoln Financial Group

Rod Bare, *Director, Asset Allocation Strategies*, Morningstar Inc.

WORKSHOP 2

Adviser Consents

Should your adviser be a fiduciary? Is there a place in your plan for a fiduciary adviser?

MODERATOR

Alison Cooke, *Managing Editor*, PLANADVISER

PANELISTS

Claiborne B. Morton, *Retirement Plan Consultant*, Raymond James Financial Services

Randy Long, *Managing Principal*, SageView Advisory Group

Jason Roberts, *Esquire*, Reish Luftman Reicher & Cohen

Rick Canipe, *President*, Presidium Advisers

11:45 – 1:00

Lunch— Sponsored by T.Rowe Price Retirement Plan Services Inc.

1:15 – 2:15

Barrier Riffs

Breaking the barriers—language, cultural, and generational—in your retirement program designs.

MODERATOR

Ellie Behling, *Associate Editor*, PLANSPONSOR & PLANADVISER

PANELISTS

Annette Grabow, *Manager of Retirement Benefits*, M.A. Mortenson Company

Jaggen Farwell, *Field Service Representative*, Nationwide

Jason K. Chepenik, *Managing Partner*, Chepenik Financial

Kurt R. Hollar, *Director-Benefits*, Coca-Cola Bottling Co. Consolidated

Collective Wisdom

Building a better investment menu with a new generation of collective investment trust funds.

MODERATOR

Nevin Adams, *Editor-in-Chief*, PLANSPONSOR

PANELISTS

Richard A. Davies, *Head-Product Strategy*, AllianceBernstein

Barbara Delaney, *Principal*, StoneStreet Equity, Inc./An NRP Member Firm

John Kutz, *Managing Director*, Victory Capital Management

Agenda Thursday, June 4 *Continued*

2009
PLAN
DESIGNS

WORKSHOP 1

2:20– 3:20

Mixed Messages

Workplace savings plans have typically emphasized the advantages of tax deferral. But with the prospects for higher taxes looming larger, is it time you got a Roth? How to effectively implement a Roth 401(k) without confusing participants.

MODERATOR

Foster Wright, *Publisher,*
PLANADVISER

PANELISTS

John Dixon, *Manager, Employee Benefits,* Joy Global, Inc.

James F. Sampson, *Managing Principal,* Cornerstone Retirement Advisors/An NRP Member Firm

Janet Ganong, *Financial Consultant,* RBC Wealth Management

WORKSHOP 2

“Deferred” Gratification

Regardless of the economic environment, nonqualified deferred compensation designs can play a key role in attracting and retaining executive talent. The most innovative plan designs and strategies to complement your executive compensation programs.

MODERATOR

Charles Ruffel, *Founder & Director,* Asset International

PANELISTS

Geoff Gottlieb, *Senior Managing Director,* EWM LLC

Rob Kieckhefer, *Financial Consultant,* RBC Wealth Management

3:20 - 3:50

Coffee Break

3:50 – 4:50

Designing Minds (smaller plans)

Effective plan design strategies that can make your plan run better—and cheaper.

MODERATOR

Brian K. Neligan, *VP, Surveys & Research,* PLANSPONSOR

PANELISTS

Bill Van Ess, *Financial Advisor Employee Benefits,* The Vincent Group, Inc.

Michael Maresh, *VP,* The Maresh – Yoshida Group at Merrill Lynch

Designing Minds

(larger plans)
Effective plan design strategies that can make your plan run better—and cheaper.

MODERATOR

Nevin Adams, *Editor-in-Chief,* PLANSPONSOR

PANELISTS

Michael J. Hall, *VP, Western U.S. Corporate Distribution,* Prudential Retirement

Mark Davis, *VP Financial Advisor,* CAPTRUST Financial Advisors
J.P. Morgan

5:15 – 7:15

Cocktail Reception—Sponsored by Mercer

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Agenda

Friday, June 5

6:30 – 8:15	Breakfast	
8:30 – 8:45	Opening Remarks	
	WORKSHOP 1	WORKSHOP 2
8:45 – 9:45	<p>Easy Does It Breezing through a retirement plan audit.</p> <p>MODERATOR Foster Wright, <i>Publisher</i>, PLANADVISER</p> <p>PANELISTS Robert J. Higgins, <i>Senior Consultant, J.D.</i>, Benefit Plans Plus JoAnn E. Cassell, <i>Director</i>, McGladrey & Pullen, LLP Thomas G. Welsh, <i>Controller</i>, MBL (USA) Coporation</p>	<p>Know Comparison Is your retirement savings program a competitive advantage? Benchmarking your plan.</p> <p>MODERATOR N. Quinn Keeler, <i>SVP, Research & Surveys</i>, PLANSPONSOR</p> <p>PANELISTS Theresa “Tess” Malone, <i>Practice Leader, Institutional Retirement</i>, Blue Prairie Group Ken Baker, <i>Director, Human Resources</i>, AET, Inc.</p>
9:45 – 10:15	Coffee Break	
10:15 – 11:15	<p>Insurance Policies Putting together an effective investment policy.</p> <p>MODERATOR Jeb Graham, <i>Retirement Plan Consultant</i>, CapTrust Financial Advisors</p> <p>PANELISTS Douglas Morris, <i>VP-Retirement Services</i>, Lockton Financial Advisors, LLC Pat Schmidt, <i>Sr. Analyst, Compensation and Benefits</i>, JTEKT North America</p>	<p>Practice Prefects Do you need a plan committee? How often should it meet? What should you be discussing? Should you take minutes? The best practices for plan fiduciaries.</p> <p>MODERATOR Phyllis E. Klein, <i>Director of Retirement Services</i>, CAPTRUST Financial Advisors</p> <p>PANELISTS Shirley Dwyer, <i>Director, Employee Benefits</i>, WellSpan Health Douglas G. Prince, <i>Managing Director</i>, Stifel Nicolaus Michael DiCenso, <i>National Practice Leader</i>, Gallagher Retirement Services</p>
11:15 – 12:15	<p>Wrong Headed? (smaller plans) 10 things you’re (probably) doing wrong as a plan fiduciary.</p> <p>MODERATOR Alison Cooke, <i>Managing Editor</i>, PLANADVISER</p> <p>PANELISTS Robert J. Higgins, <i>J.D.</i>, Benefit Plans Plus Stace A. Hilbrant, <i>Managing Director</i>, 401k Advisors LLC</p>	<p>Wrong Headed? (larger plans) 10 things you’re (probably) doing wrong as a plan fiduciary.</p> <p>MODERATOR Nevin Adams, <i>Editor-in-Chief</i>, PLANSPONSOR</p> <p>PANELISTS Mark Davis, <i>VP Financial Advisor</i>, CAPTRUST Financial Advisors Carol A. Cachey, <i>Attorney, Retirement Financial Management Consultant</i>, Hewitt Associates Annita Riddick, <i>Senior Consultant, Governance and Compliance Advisory Practice</i>, Towers Perrin</p>
12:15	Buffet Lunch —Sponsored by EWM	